

07/20/00
JC813 U.S. PTO

0721-00

PATENT A

Attorney Docket No. Cao-4

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

JC675 U.S. PTO
09/16/97
07/20/00

NEW APPLICATION TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing is the Patent Application of
Inventor(s): Yang Cao
For: APPARATUS AND METHOD FOR SYNCHRONOUS AND ASYNCHRONOUS
TRANSFER MODE SWITCHING OF ATM TRAFFIC

Enclosed are the following papers required to obtain a filing date under 37
C.F.R. §1.53(b):

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|--|---|
| <u>17</u> Pages of Specification | <input checked="" type="checkbox"/> Assignment |
| <u>5</u> Pages of Claims | <input checked="" type="checkbox"/> Declaration and Power of Attorney |
| <u>1</u> Page of Abstract | |
| <u>5</u> Sheets of Drawings | <input checked="" type="checkbox"/> Certificate of Express Mailing |
| <input type="checkbox"/> Formal | Express Mail Label No. <u>EJ941040595US</u> |
| <input checked="" type="checkbox"/> Informal | |

FEE CALCULATION:


	NUMBER FILED		BASIC FEE ALLOWANCE		NUMBER EXTRA		RATE	
Total Claims:	29	-	20	=	<u>9</u>	X	\$18.00	= \$ 162.00
Independent Claims:	2	-	3	=	<u>0</u>	X	\$78.00	= \$ 0.00
Basic Fee:								\$690.00
TOTAL:								\$852.00

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James W. Wiegand

PATENT

Docket No. Cao 4

Inventor: Yang Cao

**APPARATUS AND METHOD FOR SYNCHRONOUS AND ASYNCHRONOUS
TRANSFER MODE SWITCHING OF ATM TRAFFIC**

0000220" 8/26/99

APPARATUS AND METHOD FOR SYNCHRONOUS AND ASYNCHRONOUS TRANSFER MODE SWITCHING OF ATM TRAFFIC

FIELD OF THE INVENTION

The invention relates to telecommunications systems and, more particularly, to the efficient switching of both synchronous and asynchronous transfer mode telecommunications traffic.

BACKGROUND OF THE INVENTION

In eighteen seventy-six, inside a third floor walk-up garret apartment in the Scollay Square section of Boston Massachusetts, only a short distance from the sight of the first battle of the revolutionary war, Alexander Graham Bell spoke the first words transmitted over telephone wires. Bell's transmission of sound over telephone wires initiated a revolution in communications whose scope rivals that of the political revolution initiated by the sound, heard nearby, of "the shot heard round the world."

Technical innovations have dramatically transformed the telecommunications industry in the ensuing years. For example, telecommunications switching systems have evolved considerably from "hand operated" systems in which one instrument was electrically connected (through a hierarchical switching network) to another with the intervention of a human operator who would physically plug one circuit into another. Such direct electrical connection of two or more channels between two points (at least one channel in each direction), a connection that provides a user with exclusive use of the channels to exchange information, is referred to as circuit switching, or line switching. Human operators have largely been replaced by systems which employ electronic switching systems (ESS, e.g., 5ESS), in which the instruments are automatically connected through the network by electronic systems. Nevertheless, such switching systems often still employ circuit switching, a technique which yields highly reliable service, particularly

RELATED APPLICATIONS

Patent Applications entitled, "Apparatus and Method For Hybrid Switching", and "Apparatus and Method For Synchronous and Asynchronous Switching of Internet Protocol Traffic", filed on the same day as this application and assigned to the same assignees as this application is assigned are hereby incorporated by reference.

SUMMARY

A telecommunications management system and method in accordance with the principles of the present invention includes facilities for managing telecommunications switching in a system that includes both circuit switching and packet switching facilities. The circuit switching facilities may use a Synchronous Transport Signal (STS) crossconnect with interfaces to SONET rings, for example, while the packet switching facility switches ATM cells. In one aspect of the invention, real-time traffic, such as voice traffic, may be separated from non-real-time traffic, such as Internet email traffic. Once separated, the real time traffic may be switched through a synchronous transfer mode (STM) switch fabric, which may also be referred to herein as a circuit-switched switch or time division multiplexed (TDM) switch fabric. The non-real-time traffic may be switched through an asynchronous transfer mode (ATM) switch fabric.

In accordance with the principles of the present invention a hybrid switch includes packet and circuit switching switch fabrics, a hybrid switch manager and one or more input/output ports (I/O port). Telecommunications traffic enters the switch and, after the traffic is switched, departs to telecommunications network through the I/O port(s). A new connection admission control (CAC) process is employed by the hybrid switch manager to route ATM traffic to either an STM switch fabric or an ATM switch fabric. Because the traffic load is shared, in parallel fashion, between the STM and ATM fabrics, neither switch need be of sufficient magnitude to accommodate the entire traffic load. In an illustrative embodiment "real-time" ATM traffic, such as CBR and rt-VBR are aggregated "on-the-fly", that is, dynamically, without pre-provisioning, and switched through the hybrid switch's

STM switch fabric. ATM traffic falling into other categories is routed through an ATM switch fabric. As a result, a hybrid switch in accordance with the principles of the present invention provides efficient use of STM and ATM switch fabrics, the overall bandwidth of the switch, and buffers used for access to the switch.

In accordance with the principles of the present invention all ATM CBR traffic may be aggregated and switched through STM switch fabric(s) and ATM rt-VBR traffic may be switched through an ATM switch fabric in a conventional manner or it may be switched in the same fashion as ATM CBR traffic.

BRIEF DESCRIPTION OF THE DRAWINGS

The above and further features, aspects, and advantages of the invention will be apparent to those skilled in the art from the following detailed description, taken together with the accompanying drawings in which:

Figure 1 is a conceptual block diagram which illustrates a network of hybrid switches in accordance with the principles of the present invention;

Figure 2 is a conceptual block diagram of a hybrid telecommunications switch in accordance with the principles of the present invention; and

Figure 3 is a conceptual block diagram of a telecommunications system that employs a plurality of hybrid switches in accordance with the principles of the present invention;

Figure 4 is a flow chart that depicts the switching of ATM CBR traffic in a hybrid switch in accordance with the principles of the present invention; and

Figure 5 is a flow chart that depicts the switching of ATM rt-VBR traffic in accordance with the principles of the present invention.

Parameter	Value	Unit
1. α	0.001	
2. β	0.001	
3. γ	0.001	
4. δ	0.001	
5. ϵ	0.001	
6. ζ	0.001	
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100. \div	0.001	

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60. \cot	0.001	
61. \sec	0.001	
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95. υ	0.001	
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97. χ	0.001	
98. ψ	0.001	
99. ω	0.001	
100. κ	0.001	

links 114, 116, 118, 120, 122, and 124, for example). Additionally, within the context of a ring topology, each of the nodes may be connected to a plurality of rings. The illustrative embodiment of Figure 1 was chosen for clarity and simplicity of explanation. Furthermore, although the invention will be discussed in the context of employing a SONET/SDH physical link media, the invention may be used in conjunction with networks that employ other physical media.

Each of the Nodes A through F of Figure 1 includes components such as illustrated in the conceptual block diagram of Figure 2. The node 200 includes a controller, which will hereinafter be referred to as a hybrid resource manager 202, a central circuit switch fabric 204, a central packet switch fabric 232, and a plurality of STM units 206, 208, 210, and 212. The hybrid resource manager 202 operates to manage the switch resources through respective communications links 214, 216, 218, 220, and 222 to shelf controllers 224, 226, 228, 230, and a central packet switch fabric 232. The central packet switch fabric is an asynchronous transfer mode (ATM) switch fabric. The STM units 206, 208, 210 and 212 respectively include input/output (I/O) interfaces 234, 236, 238, and 240, local switch fabrics, 242, 244, 246, and 248, and switch interfaces, 250, 252, 254, and 256. An I/O interface, such as I/O interface 234 may provide a connection to another network element, or node, through a link such as the link 102 which connects nodes A and B of Figure 1. Traffic arriving at one of the I/O interfaces is routed under control of the hybrid resource manager 202 by a shelf controller which more directly controls the operation of a local STM switch. Each of the local STM switches may be, for example, an STS-1 level cross-connect. The capacity of the cross-connect may be related to the I/O capacity of the corresponding I/O interface. That traffic which is routed to the central packet switch fabric 232 may be directed through a switch interface, such as SWIF 250, embodied as an advanced "UTOPIA" interface which is capable of transferring both ATM cells and packet-based traffic.

As described in greater detail in the discussion related to Figures 2, 3, 4 and 5, the hybrid resource manager partitions incoming traffic into streams that are to be switched either by an STM switch fabric or an ATM switch fabric. The STM traffic may be switched in a local STM switch, such as local TDM switch 242, for example, or it may be switched

through a central STM switch fabric 204 (optional). Typically, a single ring SONET/SDH embodiment would require only one STM unit, such as the unit 206, to provide an interface to the ring and to provide the STM switching function. A multi-ring or mesh connection would include a plurality of the STM units, as illustrated. In such as case, the STM switching may be provided by a central STM switch fabric 204 which could direct traffic into and out of any of the I/O interfaces and to the packet switch fabric 232, as needed. Alternatively, traffic entering one STM unit could be packetized and switched through the central packet switch fabric 232 to travel between I/O interface 234 and I/O interface 240, for example. Each of the I/O interfaces, such as I/O 234, acts as a ring, or line, interface. The hybrid resource manager 202 communicates with the next node in a path of which it is a part and determines, "on the fly", which switch fabric, such as a local TDM 242, central packet 232 or central circuit 204, will be employed to switch traffic associated with a particular ATM service category.

For each inter-connecting link, such as link 102 between nodes A and B, the total bandwidth of the link is partitioned into a plurality of units and these units are allocated to STM or ATM traffic. If the packet switch fabric 232 supports a UTOPIA-II interface, the basic unit is an STS-1. If the switch fabric 232 supports a UTOPIA-III interface, the unit is an STS-3C. For example, if the link 102 is an OC192 link, and the switch fabric supports UTOPIA-III, the link is partitioned into 64 OC-3C based units. For each unit, there is one entry in an ingress and egress resource table, as follows:

Ingress Resource Table:

Tributary No.	Free Flag	Destination Address	Available Bandwidth	Status: Drop / Pass

TABLE 1

Egress Resource Table:

Tributary No.	Free Flag	Destination Address	Available Bandwidth	Status: Add / Pass

TABLE 2

The destination Address is either based on the IP address for this node or a proprietary address. For each ingress link, there is one ingress resource table associated with it. Correspondingly, there is one egress resource table for each egress link. It is assumed that there is at least one entry in each link's resource table.

At initialization time, all the free flags are initialized to have the value 0, indicating that the link is free. The available Bandwidth entry takes the initial value of the link's total physical transmission bandwidth, the Destination Address is initialized as 0, and Status is initialized as either Add (egress link) or Drop (ingress link).

At run time the various tributaries' resources may be allocated for different traffic categories with the allocations reflected in the ingress and egress resource tables. For example, resources may be allocated to CBR traffic from tributaries, starting with a top tributary number and working the way down, while other service categories may be allocated resources from the bottom up. Assume, for example that an egress link is an OC192 link. The link may be partitioned into 64 OC3 tributaries, in which case the link's associated egress table would have 64 entries, one for each tributary (also referred to herein as link traffic units). As traffic requests are received at the node (hybrid switch), resources are allocated, as set forth in greater detail in the discussion related to Figure 4, to service the traffic. A synchronous payload envelope (SPE) mapper may be configured to encapsulate a specific number of channels on the egress link. For example, two OC3C channels provisioned as non-path terminated at the next node, and an OC192 that is

provisioned as path terminated at the next node. Additionally, the STS pointer processor of the inbound OC192 link on the next node would be configured to recognize and process these channels, again, as set forth in greater detail in the discussion related to Figure 4. Traffic received at the "next" node will be processed first by the STS pointer processor in the nodes' I/O shelf, the two OC3C channels may then be served directly by a local STS crossconnect, thus avoiding the necessity of further processing through the UTOPIA interface. The OC192 channel may be sent directly to a centralized ATM switch for further processing through a UTOPIA interface.

A number of ATM service categories, with their attendant quality of service requirements, have been defined and are listed in table 3:

Service Category	QoS
CBR	$CLR \leq \epsilon$ $CDV \leq \delta$ $MaxCTD \leq \gamma$
rt-VBR	$CLR \leq \epsilon$ $CDV \leq \delta$ $MaxCTD \leq \gamma$
nrt-VBR	$CLR \leq \epsilon$
UBR	Best Effort
ABR	$CLR \leq \epsilon$

TABLE 3

Where:

CLR =cell loss rate

CDV= cell delay variation

MaxCTD = maximum end-to-end cell transfer delay and ϵ , δ and γ are the corresponding system requirements.

traffic is to be switched, should the incoming service request indicate that the traffic is CBR traffic. The process begins in step 400, where the resource manager 202 determines that a received connection request is a CBR request. In this illustrative embodiment, all CBR type ATM requests are routed to a STM switch fabric for switching. The process then proceeds to step 402, where the resource manager 202 determines whether the ATM connection request is an "original" CBR request. That is, the resource manager 202 determines whether the node receiving the request, the node associated with the resource manager, is the first node to receive the request, or the request is a pass-through request. If the request is not an original request, the process branches to step 404, which step will be described below.

If the request is an “original” request, the process proceeds to step 406, where the resource manager determines whether the switch has sufficient ingress bandwidth to service the request. If there is not sufficient bandwidth for the request, the resource manager denies the request in step 408 and the process proceeds to end in step 410. Bandwidth may be provisioned for different ATM service categories in a variety of ways. For example, all link traffic units, such as OC-3C traffic units, as previously discussed, may initially be “free”, and their availability would be reflected by a “0” entry in a corresponding ingress resource table. In response to a connection request having a specific service type, the resource manager determines whether the allocated bandwidth for the specific service category is sufficient for the request and, if bandwidth is available, the resource manager incrementally allocates the bandwidth for the service category. After allocating the bandwidth, the resource manager alters the resource table to reflect the allocation by changing the corresponding “Free Flag” from “0” to “1.” Consequently, the resource manager may attempt to allocate the necessary ingress bandwidth, “on the fly” as the request is received and only deny the request if the bandwidth cannot be allocated in the manner just described.

If there is sufficient ingress bandwidth, the process proceeds to step 412 where the resource manager employs the node's routing tables, as previously described in the discussion related to Figure 3, to determine whether the node is the final destination for the related CBR traffic. If the node is the final destination, the process proceeds to step

Requests for rt-VBR traffic may be handled as described in relation to the flow chart of Figure 5, in which the process begins in step 500. In step 500 it is assumed that the traffic request has already been determined to be an rt-VBR request. The process proceeds to step 501, where the resource manager determines whether a service provider has elected to switch all rt-VBR traffic in the same manner as CBR traffic. This election may be evidenced by a flag that has been set, for example. If the service provider has elected to treat all rt-VBR traffic as CBR traffic, that is, in the manner described in the discussion related to Figure 4, the process proceeds to step 504, where the procedures set forth in the discussion related to Figure 4 are employed to switch the traffic. If the service provider has not elected in this manner to treat all rt-VBR traffic as CBR traffic, the process proceeds to step 502, where the resource manager determines whether the rt-VBR traffic is to be switched in a conventional ATM switching manner, such as a linear approximation ATM switching process, or it is to be switched in the same manner as CBR traffic. The resource manager may make this determination using the peak-to-sustained cell rate ratio, opting to switch vt-VBR traffic in the same manner as CBR traffic if the ratio is less than a predetermined threshold value, for example.

If the rt-VBR traffic is to be switched as CBR traffic, that is, in the manner described in the discussion related to Figure 4, the process proceeds to step 504, where the procedures set forth in the discussion related to Figure 4 are employed to switch the traffic. After switching the traffic in this manner, the process proceeds to end in step 506. If, in step 502, the resource manager 202 determines that vt-VBR traffic is to be switched using conventional ATM switching techniques, such as linear approximation, the process proceeds to step 508, where the switching takes place. From step 508, the process proceeds to end in step 506. Other types of ATM traffic may be switched using conventional ATM switching techniques.

The foregoing description of specific embodiments of the invention has been presented for the purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise forms disclosed, and many modifications and variations are possible in light of the above teachings. The embodiments were chosen and described to best explain the principles of the invention and its practical application,

CLAIMS

1. A hybrid telecommunications switch comprising:
at least one circuit switched switch fabric;
at least one packet switched switch fabric; and
a controller configured to separate telecommunications traffic received at the hybrid switch, including asynchronous transfer mode (ATM) traffic characterized by at least one service category, and to direct traffic to either a circuit or packet switch fabric, the controller directing ATM traffic of at least one service category to a circuit switched switch fabric.
2. The switch of claim 1 wherein a portion of the circuit switch resources are provisioned for synchronous transfer mode (STM) traffic and the remaining portion of the circuit switch resources are allocated to ATM traffic as the controller routes the ATM traffic to the circuit switch.
3. The switch of claim 2 wherein the controller is configured to employ a connection admission control algorithm to allocate circuit switch resources to ATM traffic.
4. The switch of claim 3 wherein the controller is configured to route constant bit rate (CBR) ATM traffic to a circuit switch.
5. The switch of claim 3 wherein the controller is configured to route real time variable bit rate (rt-VBR) ATM traffic to a circuit switch.
6. The switch of claim 3 wherein the controller is configured to route ATM traffic which is neither CBR nor rt-VBR traffic to a packet switch.

7. The switch of claim 3 wherein the controller is configured to allocate available circuit switch resources, as indicated by a resource table, to received ATM traffic requests.
8. The switch of claim 7 wherein the controller is configured to maintain circuit switch ingress and egress resource tables.
9. The switch of claim 7 wherein the controller is configured to pass an ATM traffic request to a destination node and to establish an ATM traffic path after having determined that all nodes along the proposed traffic path have accepted an ATM traffic request.
10. The switch of claim 9 wherein the controller is configured to determine whether received rt-VBR ATM traffic is to be switched through a circuit switch.
11. The switch of claim 10 wherein the controller is configured to determine whether rt-VBR ATM traffic is to be switched through a circuit switch based upon the traffic's peak-to-sustained cell rate ratio.
12. A method for switching telecommunications traffic in a hybrid telecommunications switch for switching asynchronous transfer mode (ATM) traffic characterized by one or more service categories, comprising at least one packet switch fabric and one circuit switch fabric, at least one circuit switch fabric, and a controller, the method including the steps of:
 - (A) the controller separating telecommunications traffic received at the switch,
 - (B) the controller directing traffic to either a packet or a circuit switch fabric, with ATM traffic of at least one service category being directed to a circuit switch fabric.
13. The method of claim 12 further comprising the step of

21. The method of claim 20 further comprising the step of:
(G)the controller determining that all nodes along the proposed ATM traffic path have allocated circuit switch resources for the ATM traffic.
22. The method of claim 21 further comprising the step of:
(H)establishing an ATM traffic path after the determination of step (G).
23. The method of claim 21 further comprising the step of:
(I) the controller determining whether rt-VBR ATM traffic is to be switched through a packet switch fabric or a circuit switch fabric.
24. The method of claim 23 wherein step (I) comprises the step of:
(I1) the controller comparing the traffic's peak-to-sustained cell ratio to a threshold value.
25. The switch of claim 7 wherein the controller is configured to pass an ATM traffic request to a destination node.
26. The switch of claim 7 wherein the controller is configured to determine that all nodes along the proposed ATM traffic path have allocated circuit switch resources for the ATM traffic.
27. The switch of claim 7 wherein the controller is configured to establish an ATM traffic path after determining that all nodes along the proposed ATM traffic path have allocated circuit switch resources for the ATM traffic.
28. The switch of claim 7 wherein the controller is configured to determine whether rt-VBR ATM traffic is to be switched through a packet switch fabric or a circuit switch fabric.

29. The switch of claim 28 wherein the controller is configured compare the traffic's peak-to-sustained cell ratio to a threshold value to thereby determine whether the rt-VBR ATM traffic is to be switched through a packet switch fabric or a circuit switch fabric.

ABSTRACT

A hybrid telecommunications switch includes synchronous transfer mode (STM) and asynchronous transfer mode (ATM) switch fabrics, and a controller. The controller is configured to separate incoming ATM from STM traffic and to provide access, through a connection admission control (CAC) protocol to an STM fabric for ATM traffic. In one aspect of the invention, real-time traffic, such as voice traffic, may be separated from non-real-time traffic, such as Internet email traffic. Once separated, the real time traffic may be switched through a circuit switch fabric and the non-real-time traffic may be switched through a packet switch fabric. ATM traffic, such as CBR and rt-VBR may aggregated "on-the-fly", that is, without pre-provisioning, and switched through the hybrid switch's circuit switch fabric. ATM traffic falling into other categories is routed through a packet switch fabric.

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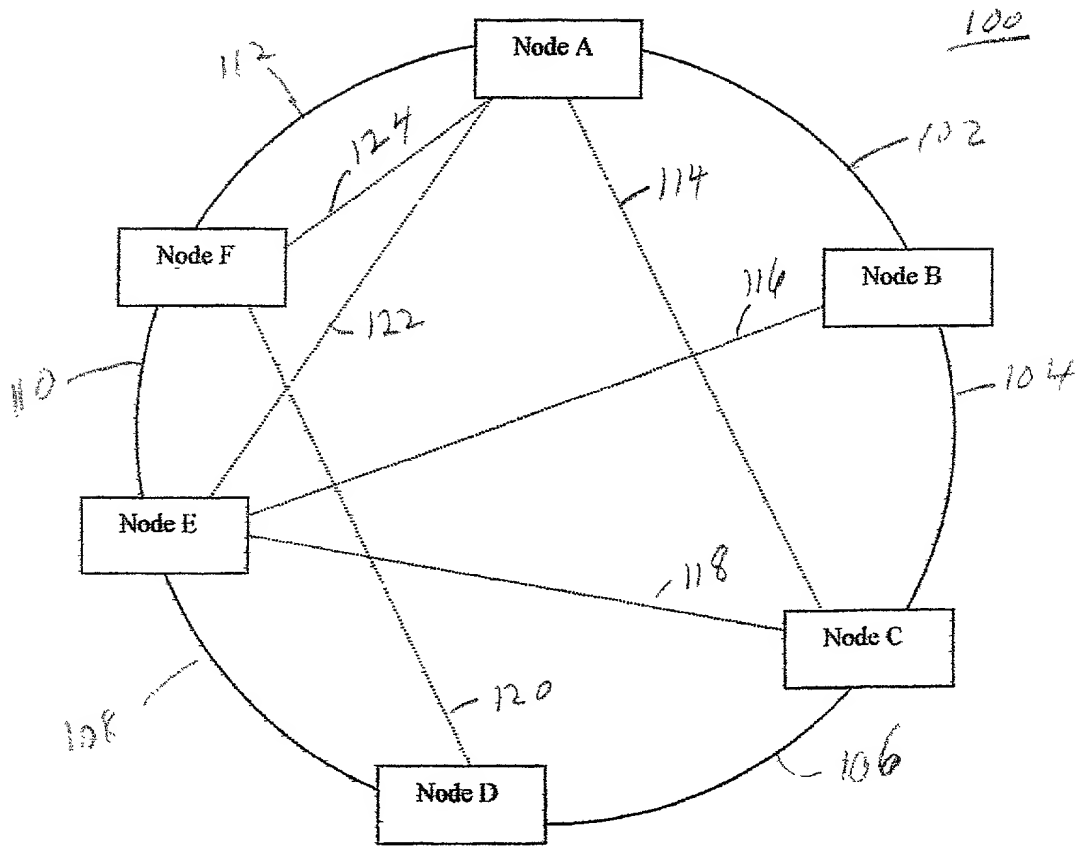


FIGURE 1

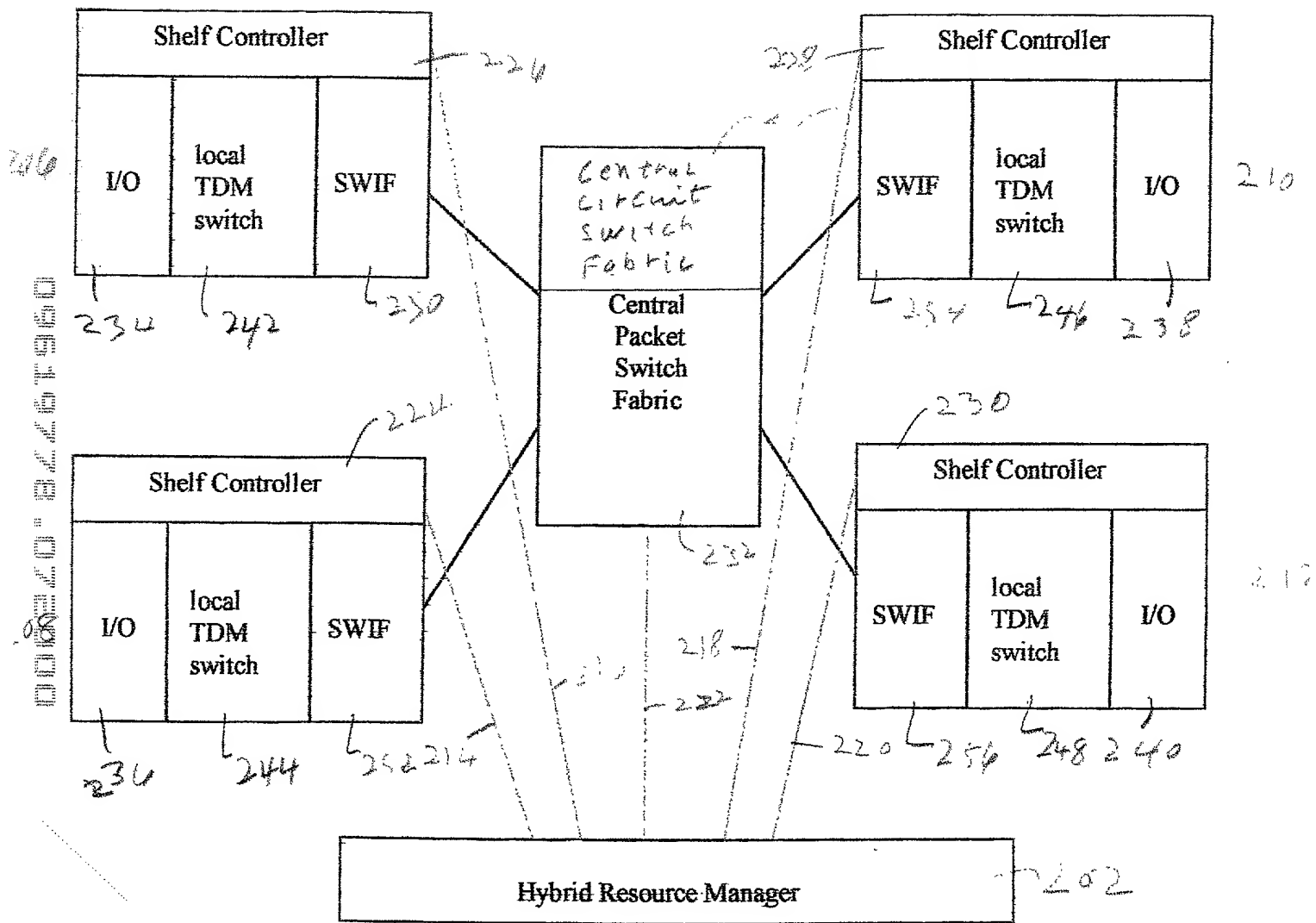


FIGURE 2

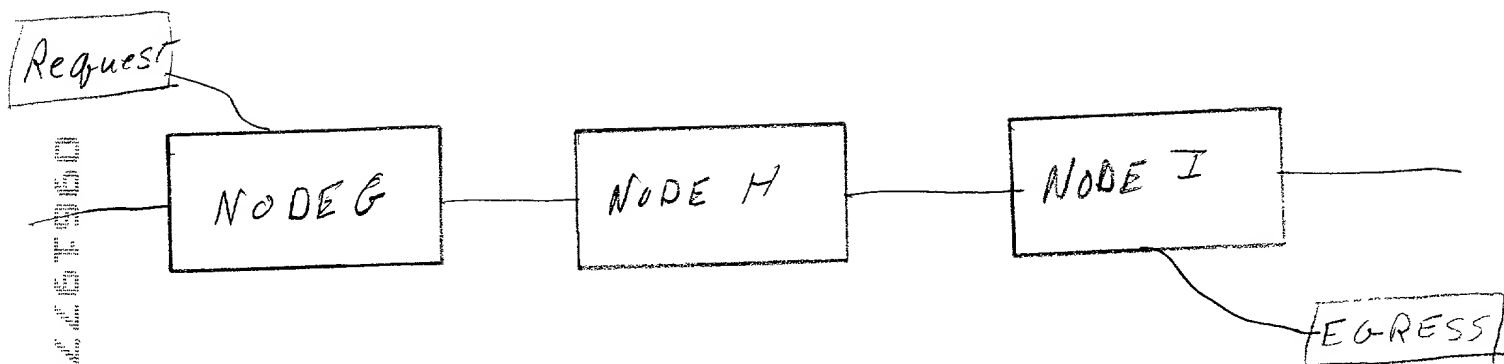


FIGURE 3

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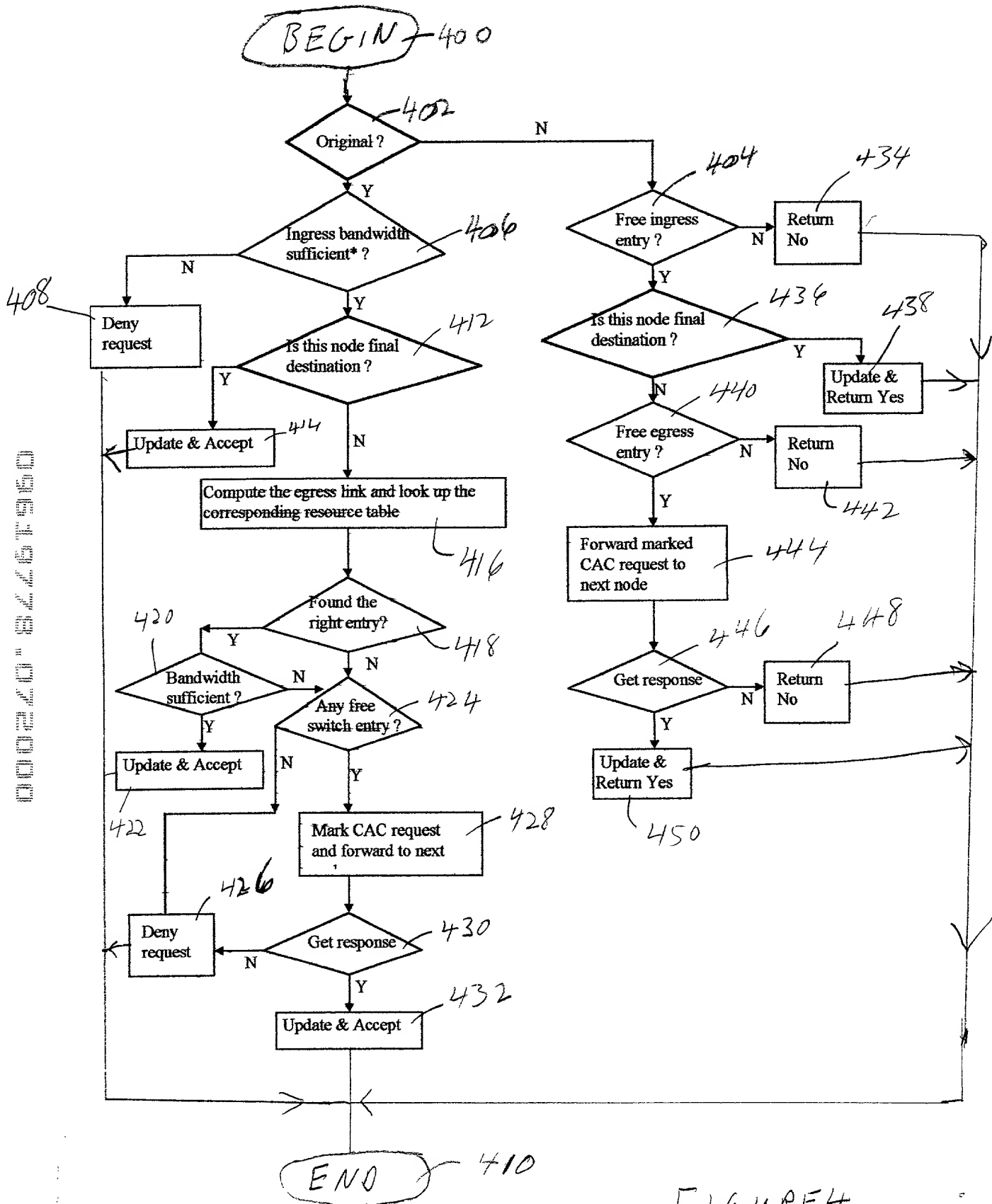


FIGURE 4

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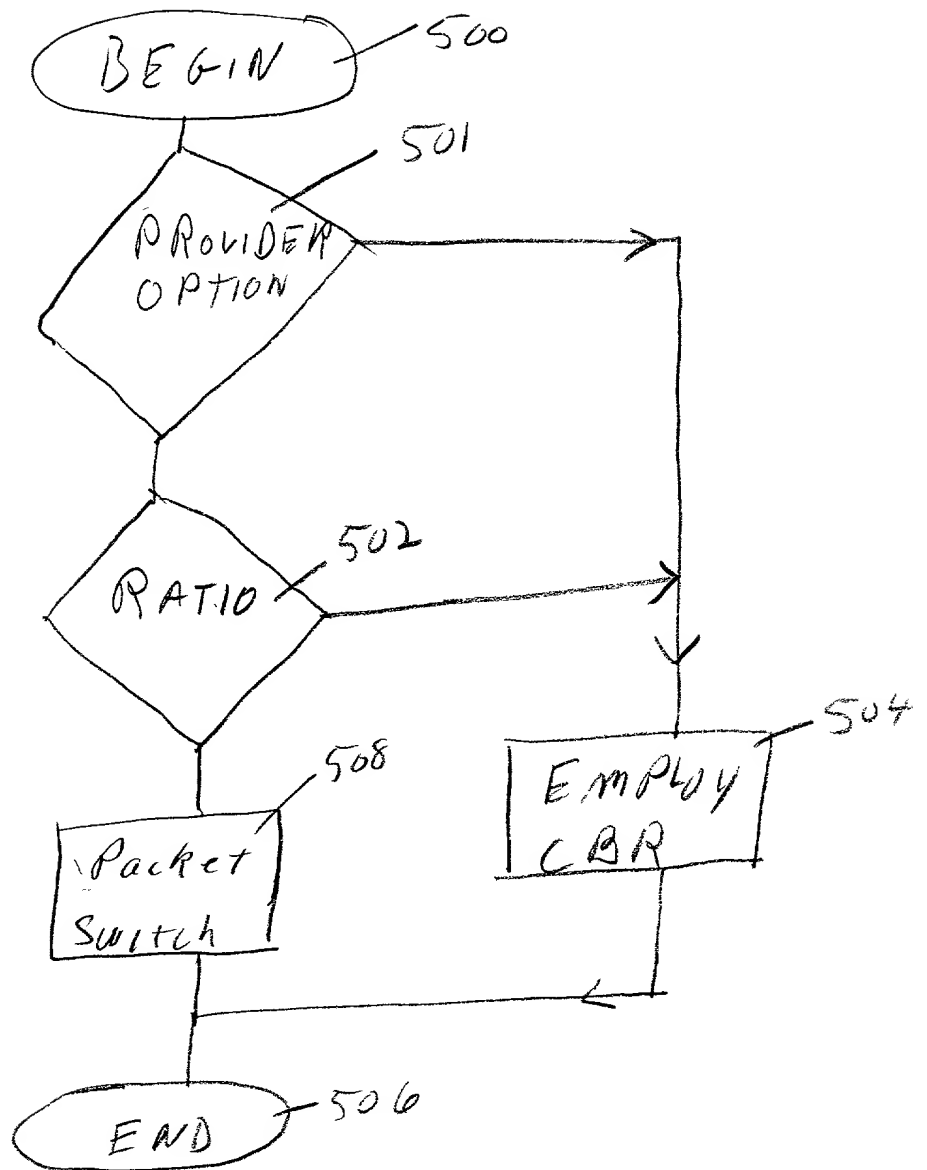


FIGURE 5

Declaration and Power of Attorney

My residence, post office address and citizenship are as stated below next to my name.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to in this oath or declaration.

I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

I hereby claim the benefit under Title 35, United States Code, 120 of any United States application listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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